

PLEASE NOTE: Updated "Information for Applicants" will be available by end of July 2016.

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Funding Initiative

'Freigeist' Fellowships

Deadline

October 13, 2016

October 12, 2017

Information

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1. What does it take to be a 'Freigeist' Fellow?

A 'Freigeist' Fellow: for the Volkswagen Foundation this means a young researcher with a strong personality, a creative mind, an ability to identify and use freedom, dedicated to overcoming obstacles. A 'Freigeist' Fellow opens up new horizons and combines critical analysis with imagination and innovative solutions.

The 'Freigeist' scheme is deliberately open to all disciplines and topics. The main focus is on outstanding junior researchers who wish to carry out research at the boundaries between established fields of research.

2. What are the objectives of this funding initiative?

Grantees are to be given an opportunity to develop an individual research profile at an early stage of their research career. Grantees are free to carry out highly innovative risk-taking research in projects located at the boundaries of established fields of research. The flexible funding offer can be adapted to provide for additional components that may arise during the funding period and to fit in with the grantee's personal development. Funding may even be augmented at a later stage to permit the exploration of newly opened lines of research.

3. What are the general conditions?

The initial five-year funding period focuses on developing the grantee's research profile and proficiency in independent research. A second funding period of up to three years can be applied for in the fourth year of funding. The purpose of this extension is to secure a permanent position for the fellow at an academic institution in Germany. A clear strategy to establish a permanent position for the fellow must be part of the application for the second funding period. There is a high degree of flexibility here, too, since the program also foresees the possibility of moving to another institution for the second funding phase.

4. How many Fellowships are available?

Ten to fifteen Fellowships will be awarded per year.

5. Who can apply?

Anyone can apply who identifies with the goals of a 'Freigeist' Fellowship. Candidates must, however, conform to the following conditions:

- Their doctorate must have been obtained not longer than five years previously (with regard to the date of the defense relative to the deadline of the initiative).
- The Fellowship must from the outset be integrated within a university or an extra-mural research institution in Germany.
- Candidates must already have changed their academic environment and moved to a new location – at the latest when starting the Fellowship. A return to the working context of the doctorate will only be accepted under exceptional circumstances.
- A previously completed research sojourn abroad, at the latest integrated in the proposed research project.

Our <u>FAQ</u> provide further information about the general conditions and requirements of an application.

6. What is the scope of funding?

The initial funding period is five years; depending on the field of research and career experience, projects in a total amount of up to 1,000,000 Euro can be applied for:

- The Fellow's position (salary scale TV-L 14, comparable to similar junior research group leaders)
- Positions for scientific personnel (doctoral students, PostDocs)
- Non-personnel costs relevant to the project (e. g. consumables, travel expenses, equipment)

IMPORTANT: The Foundations does not grant any overheads.

In case the maximum amount of funding has not been fully taken up with the initial application, additional funds (so called modules) can be applied for during the course of the Fellowship to provide flexible support (e. g. for personnel, resources, sojourns abroad, cooperation measures, translations, workshops, stays at institutions representing other research fields). Applications for these modules should be submitted – if possible – within the context of annual reporting on the funded project.

A second funding period of up to three (under exceptional circumstances even up to five) years may be provided. This requires a positive evaluation (by the Foundation), generally at the end of the fourth year, and a clear perspective for a permanent position for the Fellow at the host institution. The maximum funding for this second period amounts up to 400,000 Euro.

7. Is teaching also involved?

It is expected that Fellows will participate in academic teaching (including examinations), involving generally a teaching load of between two and four weekly hours per semester. They are also expected to participate in academic self-administration. This holds also good for Fellows affiliated to extramural research institutions.

8. What does the hosting institution provide?

During the first five-year period of funding, a substantial contribution is expected of the hosting institution/university (rooms, IT infrastructure and computers, assistant workers, resources). Extra-mural institutions as Max-Planck-Institutes or Helmholtz Centers are to contribute 50 percent of the total amount of the project costs (only project-specific costs, overheads may not be included in the calculation). A lower contribution may be acceptable for other research institutions.

For the possible second phase of funding it is expected that the hosting institution (if applicable) provides funding for personnel – and in all cases the prospect of offering the Fellow a permanent position.

9. How to apply?

Applications must be submitted online via the <u>Electronic Application System</u> of the Volkswagen Foundation. Instructions are found online. At the end of this "Information for Applicants" there is a checklist for documents to be submitted with your application.

10. In which language should the application be submitted?

Applications have to be submitted in English, as the review panel is recruited internationally.

11. Is there an application deadline?

The next deadlines for applications are October 15, 2015, October 13, 2016, and October 12, 2017.

12. What is the selection and review procedure?

All applications are subject to a two-step review process. In a first step, the members of interdisciplinary review panels submit their expert opinions on the applications in a comparative way (if necessary, supported by additional written assessments). This results in a selection of candidates who are invited to present their project proposals to the review panel in person. The panel comprises members who are chosen for their specific disciplinary expertise as well as for their ability to assess the interdisciplinary character of the proposed projects.

In the fourth year (provided a second phase of funding is applied for), an evaluation is carried out under the inclusion of external experts appointed by the Foundation.

13. What are the selection criteria?

- the applicant's personal qualifications
- a capability for independent research
- the scientific quality/originality of the project
- depending on the thrust of the project: the potential for breakthroughs, new methodological approaches, interdisciplinary cooperation
- a constructive approach to possible risks

14. How long will applicants have to wait for a decision?

The Foundation envisages to come to a decision within nine months after the respective application deadline.

Candidates who – due to the reviewers' assessment of the written application – will not be invited to present their projects, will receive a notice in due course after the first review panel meeting. In consideration of the high number of applications it is in these cases not possible to give reasons for the decision or to communicate comments from the reviewers.

15. Can one re-apply following a negative assessment?

Unfortunately, that is not possible.

Electronic Application System

Please use the Volkswagen Foundation's Electronic Application System:

https://portal.volkswagenstift ung.de/vwsantrag/login.do

Do not forget to print the cover sheet you will find there. Please scan the signed version and upload it as attachment. You will find instructions on how to proceed online.

Attachment: Checklist for the Electronic Application System

Applications must be accompanied by the following information and documents (pdf-files; in English unless otherwise specified):

Applicants must complete the **forms** posted in the Electronic Application System, providing details on the applicant, the recipient of funds (future host institution), title, project time schedule, and legally binding declaration. There is also a form for the budget that has to be completed online; please notice the provided pdf-file with information on the average costs for scientific personnel and the general guidelines for requesting personnel costs as outlined in the FAQ.

Enclosures

- 1. Cover letter, stating your personal motivation
- 2. Summary in German (max. one page)
- 3. Summary in **English** (max. one page)
- 4. Clear and illustrative text describing the project to a layperson (e. g. an imaginary newspaper article; max. one page)
- 5. Self-assessment: Freigeist Why me? (max. one page)
- 6. Project proposal (6.1 6.5 in sum not more than 15 pages, 12 pt, 1.5 lines) containing:
 - 6.1 Project description detailing objectives, statement of reasons, the potential for innovation in respect of the current status of research, details of methods, quality assurance measures (where appropriate), structure and course of the project
 - 6.2 Teaching concept, including details of hours involved (minimum two, maximum four weekly hours per semester
 - 6.3 Reasons for choosing the host institution and description of research infrastructure
 - 6.4 Details of cooperation partners (domestic and international)
 - 6.5 Information, if this or a similar application has been or will be sent to other funding organizations
- 7. Schematic working plan and time schedule (e. g. as table, chart, synopsis; max. two pages)
- 8. List of references / bibliography
- 9. Justification of budget (explanation for costs applied for)

- Where applicable, quotations for equipment costing more than
 10,000 Euro (German or English)
- 11. CV and list of publications
- 12. Optionally: up to three publications/manuscripts authored by the applicant (as separate pdf-files)
- 13. Copy of doctoral degree award / PhD certificate (in German or English)
- 14. Cover sheet generated by the Electronic Application System and signed by the applicant
- 15. **Binding declaration on part of the hosting institution** (preferably in English),

In case the fellow applies for a position at a **University / Medical School** the binding declaration on part of the hosting institution is only needed in case of an invitation to present the project (second review step). It can thus be submitted after the first review step.

If the position applied for is at an **extramural institution** the declaration is needed for the first review and has thus to be submitted upon the deadline of the initiative.

The hosting institution has to declare to

- agreeing to host the applicant in the event that the Foundation's
 funding is made available, and to enter into a contract of employment with him/her (and other persons where applicable) for the duration of the approved funding period. The Foundations expects that
 the Fellow will be ranked as junior research group leader and treated
 according to the correspondant regulations of the institution (e. g.
 regarding salary or the permission to supervise doctoral students),
- agreeing to own contribution as well as to making the respective rooms and resources available,
- agreeing to implement the lecture courses planned by the applicant and (where applicable) integrating respective doctoral candidates.
- Only for the possible <u>second</u> funding phase: A commitment to providing a permanent position for the applicant.